



Financial Engagement Risk assessment form

(for internal use – see last page for external use)

Step 1 – Background information

To be filled out by the World Obesity staff member who is in charge of the engagement

Organisation

name: _____

Industry/sector: _____

Engagement type and estimated value in £:

Has World Obesity had previous engagement with this company or its affiliates? If so, in what capacity:

Company website(s):

Step 2 – Establishing the engagement and company/organisation type

Q1. Is the engagement type high, medium or low risk? _____

Q2. Is the organisation categorised tier 1, tier 2 or tier 3? _____

Q3. Is a PAA required? _____

If 'no' go to Step 5

Q4. Is a CECA required? _____

If 'no' go to Step 4

Step 3 – Corporate Engagement Committee assessment (CECA)

Q5. Has a CEC assessment been made in the last 12 months?

If 'yes' go to Step 4 (Q8), otherwise continue here:

Q6. Has a PAA been sent to the CEC members?

If 'no' then ensure a PAA has been completed and sent to CEC members before proceeding

(see Step 4 for the PAA)

Q7. What is the response of CEC members?

1. Consensus agreement that:

2. Disagreement, with the following comments made:

Step 4 - Policy alignment assessment (PAA)

To be filled out with the assistance of additional staff in World Obesity

Q8. Has the organisation been assessed with a PAA in the last 12 months?

If 'yes', go to Step 5 (Q15), otherwise continue here:

Q9. Is the company directly connected with therapies or products which are claimed to benefit the health of overweight or obese people, and is there reasonable evidence for the claimed benefit or, on the contrary, evidence of harm?

Q10. Is there reasonable evidence that the company has marketing /advertising strategies or undertakes lobbying or attempts political influence which may be deemed inconsistent or contradictory to World Obesity's advocacy positions or the positions of international bodies with which World Obesity is in official relations (e.g. World Health Organization)? _____

Q11. Are there any known organizations, bodies or campaigns being funded by the company which might be deemed inconsistent with or contradictory to World Obesity's advocacy positions or the positions of international bodies with which World Obesity is in official relations (e.g. WHO)?

Q12. Is there reasonable evidence the company is failing on ethical criteria, e.g. child labour and employee welfare practices; environmental and sustainability practices; human right practices, or that in contrast it has good practices in place?

Q13. Does the company have a close business relationship with other commercial interests (e.g. subsidiary or parent company, or peak organisation, federation or council) which should be taken into account in the assessment of risk e.g. tier 1 or 2 companies? _____

Q14. Are there other matters which might cause reputational damage or a conflict of interest? Are there any known concerns about the company's probity or reputation or political activities?

Step 5 – Executive Director's assessment

Q15. Using any assessments provided (including CECA and PAA shown above), does the organisation's policies and activities align sufficiently with the mission, goals, positions and overall vision of World Obesity? (see policy and www.worldobesity.org)

Q16. Will the engagement help World Obesity to realize its goals?

Q17. What risks may arise from entering such an engagement?

Q18. What risks may arise from NOT entering such an engagement?

Q19. Before reaching your decision, have you sought the views of the Executive Committee?

Step 6 – Decision and follow-up

Decision: _____

Summary of statement sent to the organisation (see also next page):

Summary of the Policy Alignment Assessment checklist

(Version for communication with the organisation, and for external publication)

Name of Organisation:	Tier:
	Assessment
1. Is the organisation directly connected with therapies or products which are claimed to benefit the health of overweight or obese people, and is there reasonable evidence for the claimed benefit or is there evidence of harm?	
2. Is there reasonable evidence that the company has marketing /advertising strategies or undertakes lobbying or attempts political influence which may be deemed inconsistent or contradictory to World Obesity's advocacy positions or the positions of international bodies with which World Obesity is in official relations (e.g. the World Health Organization)?	
3. Are there any known organizations, bodies or campaigns being funded by the company which might be deemed inconsistent or contradictory to World Obesity's advocacy positions or the positions of international bodies with which World Obesity is in official relations (e.g. the World Health Organization)?	
4. Is there reasonable evidence the company is failing on ethical criteria, e.g. child labour and employee welfare practices; environmental and sustainability practices; human right practices, or that in contrast it has good practices in place?	
5. Does the company have a close business relationship with other commercial interests (e.g. subsidiary or parent company) that should be taken into account in the assessment of risk?	
6. Are there other matters which might cause reputational damage or a conflict of interest? Are there any known concerns about the company's probity or reputation?	