

Carrier Compliance Declaration

Carrier Self-Declaration

1. Scope

The undersigned Carrier hereby confirms that all transport services performed on behalf of SUN Global Logistics Solutions GmbH shall be carried out in compliance with:

- all applicable laws and regulations
- the applicable Transport Conditions
- this Carrier Compliance Declaration
- the policies and documents set out below

2. Binding Documents

The Carrier hereby confirms that the following documents have been provided, have been understood and shall be complied with:

- Transport Conditions
- Driver Handbook
- Behavior Based Safety (BBS)

These documents form an integral part of this Declaration.

3. Legal & Compliance

- Compliance with all applicable national and international transport regulations
- All required licences, permits and authorisations are in place
- Compliance with the declaration regarding the German Minimum Wage Act (MiLoG) previously submitted to SUN Global Logistics Solutions GmbH
- A system is in place to ensure the confidentiality of operational and business data
- An internal compliance and monitoring system is implemented to ensure continuous compliance with all applicable legal and contractual requirements

4. Drivers & Safety

- A system is in place for the selection of qualified drivers
- Regular training and instruction are provided
- Compliance with applicable driving and rest time regulations
- Policies against alcohol, drug and medication abuse are in place
- Drivers are trained in the principles of Behavior Based Safety (BBS) and apply them during day-to-day transport operations
- Drivers are familiar with and comply with the Driver Handbook

5. Dangerous Goods

- Compliance with applicable ADR regulations
- Drivers involved in the transport of dangerous goods hold a valid ADR training certificate
- A Dangerous Goods Safety Adviser (DGSA) is appointed
- Relevant safety measures are implemented in accordance with the Driver Handbook

6. Vehicles & Transport Operations

- Preventive vehicle maintenance is carried out and all legally required vehicle inspections and examinations are performed
- All maintenance and inspection records shall be made available upon request
- Vehicles are maintained in a technically sound, roadworthy condition and are suitable for the transport requirements
- Vehicles are properly locked when left unattended and are parked exclusively at secured parking facilities in accordance with the Transport Conditions
- All legally required emergency and safety equipment is available

7. Supply Chain & Documentation

- Transport and customs documents are transferred throughout the transport chain
- Compliance with customer-specific requirements, particularly regarding site-specific and behavioural rules
- Traceability of transport operations and subcontractors is ensured and documented

8. Subcontractors

- Transport services shall only be subcontracted with the prior approval of SUN Global Logistics Solutions GmbH
- It is ensured that subcontractors also comply with the requirements of this Carrier Compliance Declaration

9. Non-Conformance & Safety

- A system for reporting non-conformances is in place
- Immediate reporting of the following is mandatory:
 - Accidents
 - Near Misses
 - Security threats
 - Environmental incidents
 - Significant deviations during transport, loading and unloading
- Root cause analyses are conducted and appropriate corrective and improvement measures are implemented

10. Environment & Operation Clean Sweep (OCS)

- The requirements of Operation Clean Sweep (OCS) are implemented within the Carrier's area of responsibility
- Measures are taken to prevent plastic pellet loss throughout the transport chain
- Drivers are appropriately trained in the requirements of Operation Clean Sweep (OCS)
- Compliance with OCS requirements is regularly monitored
- A system is in place for monitoring and recording greenhouse gas (GHG) emissions

11. Monitoring & Evidence

The Carrier undertakes to:

- provide relevant evidence upon request (e.g. training records, ADR certificates and maintenance records);
- participate in audits or random compliance inspections; and
- provide performance and safety data (e.g. incidents and KPIs).

12. Acceptance & Binding Commitment

By accepting a transport order, the Carrier confirms that:

- it has received this Declaration and all referenced documents;
- it has understood their contents; and
- it agrees to comply with them

This Declaration forms an integral part of the business relationship.

SUN reserves the right to conduct random compliance audits and inspections to verify compliance with this Carrier Compliance Declaration.

This Declaration, including all referenced documents, forms an integral part of the Transport Conditions of SUN Global Logistics Solutions GmbH.

Acceptance of a transport order shall constitute acceptance of this Declaration.

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